

New Mexico's Integrated Special Education Accountability System (ISEAS)

A Comprehensive Monitoring Approach to Improving Outcomes for Students with Disabilities

Special Education Director's Academy

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ISEAS Manual

- A Comprehensive Monitoring Approach to Improving Outcomes for Students
- Guide to provide a Free and Appropriate Public Education (FAPE) for all students
- Great reference
- Can be found online at: <http://ped.state.nm.us/SEB/index.html>



Individuals with Disabilities Education Act, Part B (IDEA B) History..

- December 2004
 - reauthorized IDEA B went into effect
- December 2005
 - states were required to submit a State Performance Plan (SPP) to the United States Department of Education, Office of Special Education Programs (OSEP)

IDEA B History, continued

- The SPP included a combination of **16 Results and Compliance Indicators** established by the U.S. Secretary of Education.
- The SPP evaluates the State's efforts on implementing and complying with IDEA B regulations.



IDEA B History, continued

- December 2008
 - States are required to apply consistent enforcement mechanisms when assigning annual Determinations to local educational agencies (LEAs).



General Supervision

- The State reviews each LEA's performance regarding their SPP Indicators.
- Determinations for each LEA are made on an annual basis.
- States use the same ratings as OSEP when making annual determinations for LEAs.



State Performance Plan (SPP)

- The State's Annual Report on the 16 Indicators
- Review Period:
 - July 1st through June 30th
- Most data is collected electronically through the State's data warehouse
 - Student Teacher Accountability Reporting System (STARS)
- The State assigns LEA determinations:
 - Meets Requirements, Needs Assistance (NA), Needs Intervention (NI), Needs Substantial Intervention (NSI)



SPP Requirements

- States must set targets for each Indicator that did not have a target mandated by the United States Department of Education Office of Special Education Programs (OSEP).
- States must report to OSEP and the public on the progress of students with disabilities in the state through the Annual Performance Report (APR).



Policies and Procedures

- LEA must have in effect policies, procedures and programs consistent with the State to meet requirements under 34 CFR § 300.201 through 34 CFR § 300.213
- LEAs are required to submit a plan that provides assurances of Policies and Procedures annually
- LEA's Policies and Procedures will be reviewed by the state on a case-by-case basis



Data on Processes and Results

- 2 types of Indicators: Compliance and Results
 - Compliance Indicators (100%)
 - 4a, 4b, 9, 10, 11, 12, 13 and 16
 - Results Indicators (Targets of Compliance)
 - 1, 2, 3, 5, 6, 7, 8, and 14



Data on Process and Results

- Data collection for assigning LEA determinations
- Data is collected through STARS for the following indicators:
 - SPP 1, 2, 4, 5, 6, 7, 9, 10, 11, and 12



Data on Processes and Results, continued

- Other Indicators
 - Indicator 3 - based on PARCC and Alternate Assessment data
 - Indicator 8 - data collected through a parent survey
 - Indicator 13 - LEAs upload IEPs to secure site in STARS
 - Indicator 14 - survey data collected through a secure portal
 - REC 4 – Glenn Damian



Data on Processes and Results, continued

- Important data collection periods
 - IDEA Section and 618 Data Collection
 - 40th Day – 2nd Wednesday in October
 - 80th Day – December 1st
 - 120th – 2nd Wednesday in February
 - EOY – Last Day of School for each LEA



Data Collection for Indicators

Key Points to Remember:

- Monitor your data:
 - You're in charge and responsible
- Submit timely and accurate data
- Contact your SEB Education Administrator



Integrated Monitoring Activities

- Data Sources for monitoring LEAs:
 - **SPP Indicators**
 - On-site monitoring
 - STARS Data (SPP #1, 2, 4-7, 9-13)
 - IEPs (SPP #13)
 - Parent Survey (#8)
 - REC Survey Data (#14)
 - PARCC and Alternate Assessment data (#3)
 - Root Cause Analysis (#11, 12, 13)
 - Self Assessment (#4a, 4b, 9, 10)



Integrated Monitoring Activities, continued

– **Dispute Resolution:**

- Desk-Top Monitoring of
 - CAPs (from Formal Complaints)
 - Due Process Hearing (DPHO Orders)

– **Alternative Dispute Resolution (ADR):**

- Agreements monitored



Integrated Monitoring Activities, continued

- Policies and Procedures

- Fiscal:

- Annual IDEA B sub-grant applications
- Assurances
- Operating Budget Management System (OBMS)



Integrated Monitoring Activities, continued

- In cases of Non-Compliance, additional items are reviewed and monitored:
 - Policies and Procedures
 - Assurances from LEA to State
 - MOUs or other agreements



Improvement, Corrections, Incentives and Sanctions

- Key Components of monitoring:
 - State Performance Plan
 - Effective Implementation of Policies and Procedures
 - Data on Processes and Results
 - Integrated Monitoring Activities
 - Integrated Fiscal Accountability, and
 - Effective Dispute Resolution



Improvement, Corrections, Incentives and Sanctions, continued

- **Non-compliance identified through:**
 - SPP Indicator data in STARS
 - Missed compliance indicators
 - Desk audits
 - Self Assessments
 - DPH decisions and State Complaint decisions
 - Information from other sources



Improvement, Corrections, Incentives and Sanctions, continued

- **Notification of non-compliance:**

- Formal letter
- Annual Determinations Letter



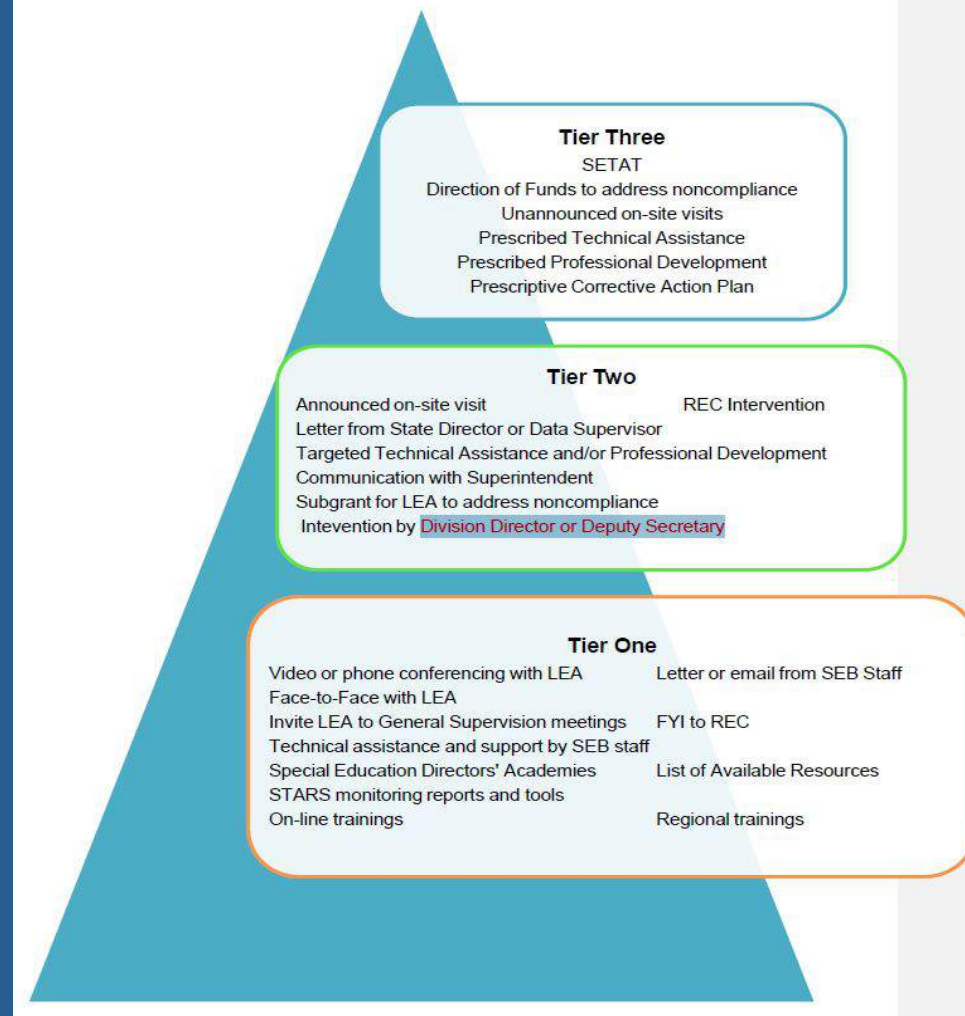
- **Non-compliance corrections:**

- 1 Year correction period for non-compliance findings
- **OSEP Memo 09-02** - two prongs for correction of non-compliance

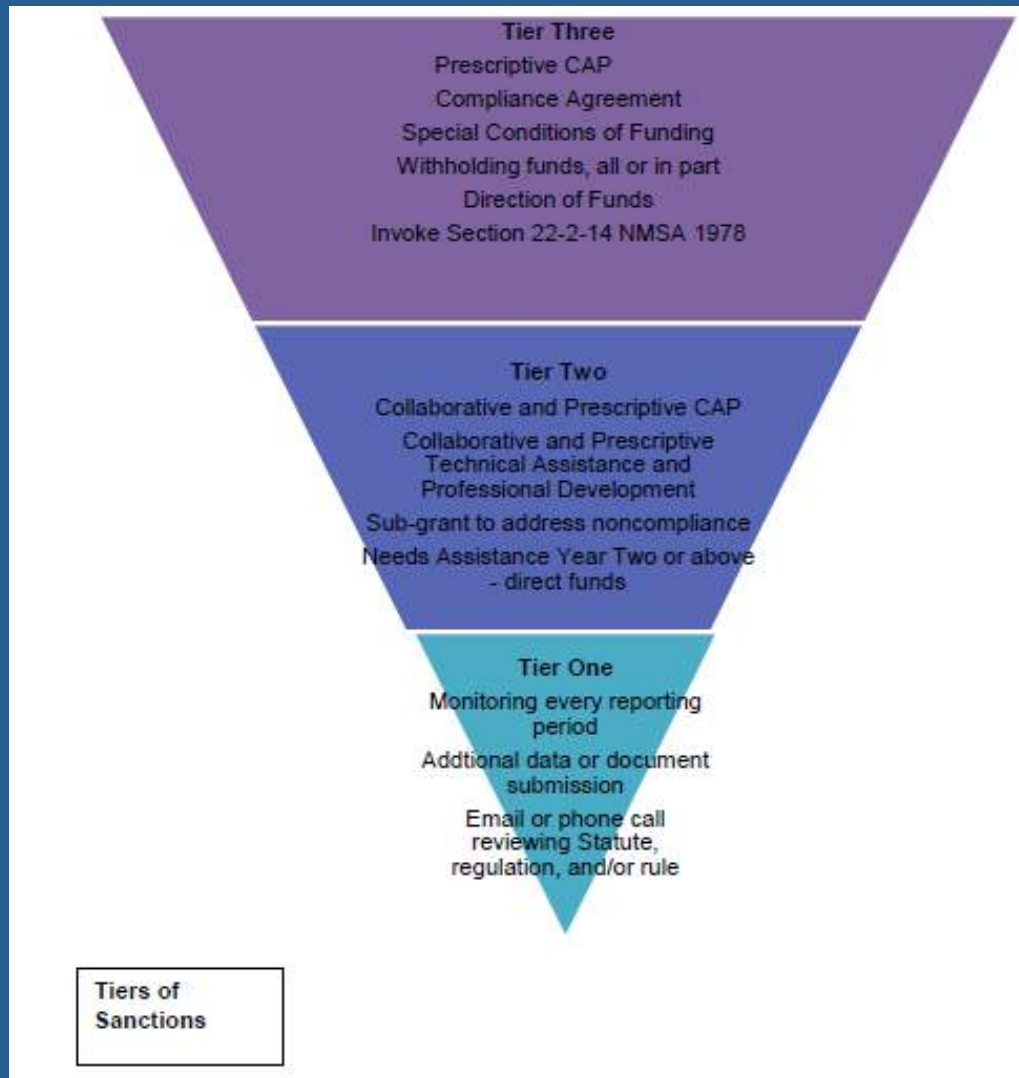
Improvement, Corrections, Incentives and Sanctions, continued

TIERS of Intervention

Tiers of Intervention



Improvement, Corrections, Incentives and Sanctions, continued



If Interventions are
not enough..

***TIERS of
Sanction***

Effective Dispute Resolution

- **Formal State Level Complaints:**

- In writing
- Submitted to the SEB
- Contains statements indicating:
 - The public agency or department has violated an applicable State or Federal law or regulation
 - The facts on which the allegation or violation is based
- Signed by the complainant or designate



Alternative Dispute Resolution

- **3rd Party Assisted Intervention**
 - Mediation (Alternative Dispute Resolution (ADR))
- **Facilitated Individualized Education Program (FIEP)**
- **Resolution Session/Due Process Hearing (DPH)**
 - Expedited Due Process Hearings



Targeted Technical Assistance

Goals:

- Improve educational results and functional outcomes for all children with disabilities
- Improve program and systems operations
- Improve/Sustain compliance and performance
- Based on Annual Determinations



Targeted Technical Assistance, continued

Levels of Intervention



	Desk Top Monitoring	Monitoring through EPSS	Invite LEA to SEB trainings and webinars	*Sub-grant to LEA to meet Targets	Technical Assistance on Missed Targets at LEA Level	Professional Development at LEA Level on Missed Targets	Collaborative Profess. Dev. T.A., and on-site (SEB and TAESE)	Mandatory Webinar Training	**On site visits/ support SEB and contractor	**LEA staffing review	**Prescribed profess. Dev. and T.A.	Optional Corrective Action Plan (CAP) (collaborative)	***CAP prescribed	*** SETAT	****Direct Funds
Meets Requirements															
Needs Assistance Year One															
Needs Assistance Year Two															
Needs Assistance Year Three plus															
Needs Intervention Year One															
Needs Intervention Year Two															
Needs Intervention Year Three plus															

* Requires annual submission to SEB in June

*** TAESE (Utah State University – Technical Assistance for Excellence in Special Education)

** State Personnel Development Grant (SPDG) Activity

****Depends on magnitude, length of time, and LEA Response

Fiscal Monitoring will be randomly selected each year

Integrated Fiscal Accountability

- Fiscal monitoring is one of the eight components of general supervision
- Excess costs of providing special education and related services to children with disabilities



Integrated Fiscal Accounting, continued

- Appropriation of IDEA funds, including charter and private schools;
- Obligation and liquidation of IDEA funds
- Appropriate use of IDEA B funds.

Integrated Fiscal Accountability, continued

- Operating Budget Management System (OBMS)
- Student Teacher Accountability Reporting System (STARS)
- State level activity allocations
- Maintenance of Effort (MOE)

Contact Information



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